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January 18, 2007

Marlene Capreri

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent Application of

Karl Heinz Schmid, et al.

: Group Art Unit: 1615

Appln. No.: 10/553,165

: Examiner: Unknown

Filed:

August 4, 2006

: Confirmation No.: 9073

For:

COSMETIC OLIGO-α-OLEFIN

: Attorney Docket

CONTAINING COMPOUND

: No.: C 2869 PCT/US

Customer No.: 23657

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We hereby request the following corrections of the Filing Receipt for Serial No. 10/553,165.

Applicant(s) should be corrected for the third listed inventor, as follows:

Achim Ansmann, Erkrath, GERMANY;

Domestic Priority data for the above-referenced application should be corrected to read:

This application is a 371 of PCT/EP04/I03693 04/07/2004

Thank you for your assistance in this matter.

January 18, 2007

Cognis Corporation

Date

Respectfully submitted,

Arthur G. Seifert (Reg. No. 28,040)

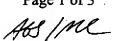
Attorney for Applicants

215-628-1129

300 Brookside Avenue Ambler, PA 19002

Enc.: (1) Filing Receipt (true copy marked to show corrections)

(2) Executed Declaration (copy)(showing correct information)





## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/553,165	08/04/2006	1615	1180	C 2869 PCT/US		23	3	

**CONFIRMATION NO. 9073** 

23657 COGNIS CORPORATION PATENT DEPARTMENT 300 BROOKSIDE AVENUE AMBLER, PA 19002

**FILING RECEIPT** \*OC000000021874656\*

Date Mailed: 01/09/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Karl Heinz Schmid, Mettmann, GERMANY; Alfred Westfechtel, Hilden, GERMANY; -Erkrath Achim Ansmann, Ekrath, GERMANY; Markus Dierker, Duesseldorf, GERMANY; Stefan Bruening, Philadelphia, PA;

Power of Attorney: The patent practitioners associated with Customer Number 23657.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03693 04/17/2004

#### Foreign Applications

GERMANY 103 17 781.7 04/16/2003 GERMANY 103 24 508.1 05/28/2003 GERMANY 103 36 172.3 08/07/2003

RECEIVED COGNIS LAW DEPT. ACTION \_\_ \_\_\_ INFO\_

JAN 16 2007

If Required, Foreign Filing License Granted: 01/05/2007

FILE CARGO PCT/US

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,165** 

Projected Publication Date: 04/19/2007

WINPAT DATALAST

Non-Publication Request: No

Early Publication Request: No

Title

Cosmetic oligo-alpha-olefin containing compound

**Preliminary Class** 

424

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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0010/PTQ Rev. 6/95	U.S. Department of Commerce Patent and Trademerk Office	Attorney Docket Number	C 2869 PCT/US								
DECLARA	ATION FOR	First Named Inventor	SCHMID,	Karl Heinz							
UTILITY C	R DESIGN	СОМ	IPLETE IF KI	NOWN							
PATENT AF	PPLICATION	Application Number	10/553,165								
		Filing Date									
Declaration (	DR X Declaration Submitted after	Group Art Unit									
with Initial Filing	Initial Filing	Examiner Name									
of the subject matter which is claimed and for which a patent is sought on the invention entitled:  COSMETIC OLIGO-a-OLEFIN CONTAINING COMPOUND  (Title of the Invention)  the specification of which  is attached hereto  OR  was filed on (MM/DD/YYYY)  04/07/2004  as United States Application Number or PCT International  Application Number  PCT/EP2004/003693  and was amended on (MM/DD/YYYY)  I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.											
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.  I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.											
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO							
103 17 781.7	Germany	04/16/2003									
103 24 508.1	Germany	05/28/2003	<b>'</b> 🔲 П								
103 36 172.3	Germany	08/07/2003									
Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:											
I hereby claim the benefit under Title	I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.										
Application Number(s)	Filing Date (MM/DD/YYYY)	ap an	ditional provisional plication numbers e listed on a pplemental priority eet attached heret								

Burden Hour Statement. This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Type a plus sign (+) inside this box •	C 2869 PCT/US
DECLARATION	Page 2
Learning Market and Artifact Title 25 United States Code \$470 days 1 levied States	

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT International application designating the United Sates of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the mamner provided by the first peragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. U.S. Parent **PCT Parent** Parent Patent Number **Parent Filing Date Application Number** Number (MM/DD/YYYY) (if applicable) PCT/EP2004/003693 04/07/2004 Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: 23657 Customer Number Firm Name ÓR List Attorney(s) and/or agent(s) name and registration number below: Name Registration Number Name Registration Number Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto. OR 23657 Please direct all correspondence Х Customer Number Fill in correspondence address below or label Name Address Address State City Zin Telephone Country I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. A petition has been filed for this unsigned inventor Name of Sole or First Inventor: Middle Initial Family Name **SCHMID** Suffix Karl Heinz Given e.g. Jr. Name Date inventor's Citizenship Country German State Germany Residence: City Stifterstrasse 10 Post Office Address Post Office Address Country Germany Applicant Authority State Zip 40822 Mettmann Additional inventors are being named on supplemental sheet(s) attached hereto

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DECLARATION									ADDITIONAL INVENTOR(S) Supplemental Sheet								
Name of Additional Joint Inventor, if any:										A petition has been filed for this unsigned inventor							
Given Name	Alt	Alfred						mily me	WESTFECHTEL			-	Suffix e.g. Jr.				
Inventor's Signature		CA	Used	Wiste or Le.			1	Date			11.11.05						
Residence:	City	City Hilden				State Co			ountry	try Germany			Citizens		Germ		
Post Office Address Menzelweg 74								_									
Post Office Address																	
City 40724 Hilden				State	Zip				Country German			y Applicant Authority			Ţ		
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor																	
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Given Name	Markus			Middle Far Initial Nar							Suffix e.g. Jr.						
Inventor's Signature	(/· ć.			cethe						Date	Date 15.		11.05				
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Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor																	
Given Name	Ste	Stefan			Middle Far Initial Na		Fan Nar	BRUENING		;		Suffix e.g. Jr.					
Inventor's Signature SAJou IN				wi	Z	×					Date	20	73.1/.		5		
Residence: City Philadelphia State					State	F	PA	Cc	ountry USA Citizenship German					n			
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A -1 -1 101	Additional inventors are being named on supplemental sheet(s) attached hereto																